

**1999/017409/07**

**PIONEER WEALTH MANAGERS (PTY) LTD**

**MANUAL**

**in terms of**

**Section 51 of**

**The Promotion of Access to Information Act**

**2/2000**

**(the "ACT")**

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## **1. INTRODUCTION**

Pioneer Wealth Managers (Pty) Ltd is an authorised financial services provider with the Financial Services Board, licence number 7007.

## **2. COMPANY CONTACT DETAILS**

**Directors:** Louis Christian Pfeiffer; Zarina Vawda, Samson Norman, Martin Saul Shapiro, Nadir Thokan and Wayne Hiller van Rensburg

**Office Manager:** Martin Saul Shapiro

**Postal Address:** P O Box 52156 Saxonwold 2132

**Street Address:** 1<sup>st</sup> Floor Office Suites, 54 on Bath, Bath Avenue, Rosebank, 2196

**Telephone Number:** 011 880 4710

**Fax Number:** 086 661 0237

**Email:** martins@pioneer.co.za

## **3. THE ACT**

- 3.1** The ACT grants a requester access to records of a private body, if the record is required for the exercise or protection of any rights. If a public body lodges a request, the public body must be acting in the public interest.
- 3.2** Requests in terms of the ACT shall be made in accordance with the prescribed procedures, at the rates provided. The forms and tariff are dealt with in paragraphs 6 and 7 of the Act.
- 3.3** Requesters are referred to the Guide in terms of Section 10 which has been compiled by the South African Human Rights Commission, which will contain information for the purposes of exercising Constitutional Rights. The Guide is available from the SAHRC. The contact details of the Commission are:

Postal Address:	Private Bag 2700, Houghton, 2041
Telephone Number:	+27-11-877 3600
Fax Number:	+27-11-403 0625
Website:	<a href="http://www.sahrc.org.za">www.sahrc.org.za</a>

#### 4. APPLICABLE LEGISLATION

<b>No</b>	<b>Ref</b>	<b>Act</b>
1	No 61 of 1973	Companies Act
2	No 55 of 1998	Employment Equity Act
3	No 95 of 1967	Income Tax Act
4	No 66 of 1995	Labour Relations Act
5	No 37 of 2002	Financial Advisory and Intermediary Services Act
6	No 75 of 1997	Basic Conditions of Employment Act
7	No 69 of 1984	Close Corporations Act
8	No 25 of 2002	Electronic Communications and Transactions Act
9	No 2 of 2000	Promotion of Access of Information Act
10	No 30 of 1996	Unemployment Insurance Act
11	No 38 of 2001	Financial Intelligence Centre Act

#### 5. Schedule of Records

- Companies Act Records
  - Memorandum of incorporation, Minutes of meetings, Statutory registers
- Financial Records
  - Annual Financial Statements, Accounting records, invoices
- Income Tax Records
  - PAYE records, documents issued to employees of Income Tax purposes
- Personnel Records
  - Employment contracts, disciplinary records, pension and provident funds
- FAIS Records
  - Statutory records required in terms of the FAIS act

## **6. FORM OF REQUEST**

To facilitate the processing of your request, kindly:

- 6.1** Use the prescribed Form C, available on the website of the SOUTH AFRICAN HUMAN RIGHTS COMMISSION or from our offices.
- 6.2** Address your request to the Head of the Company.
- 6.3** Provide sufficient details to enable the Company to identify:
  - (a) The record(s) requested;
  - (b) The requester (and if an agent is lodging the request, proof of capacity);
  - (c) The form of access required;
  - (d) (i) The postal address or fax number of the requester in the Republic;  
(ii) If the requester wishes to be informed of the decision in any manner (in addition to written) the manner and particulars thereof;
  - (e) The right which the requester is seeking to exercise or protect with an explanation of the reason the record is required to exercise or protect the right.

## **7. PRESCRIBED FEES**

The following applies to requests (other than personal requests):

- 7.1** A requestor is required to pay the prescribed fees (R50.00) before a request will be processed;
- 7.2** If the preparation of the record requested requires more than the prescribed hours (six), a deposit shall be paid (of not more than one third of the access fee which would be payable if the request were granted);
- 7.3** A requestor may lodge an application with a court against the tender/payment of the request fee and/or deposit;
- 7.4** Records may be withheld until the fees have been paid.
- 7.5** The fee structure is available on the website of the SOUTH AFRICAN HUMAN RIGHTS COMMISSION at [www.sahrc.org.za](http://www.sahrc.org.za).

Signed



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Information Officer